

# Operating System Telemetry

Configuring privacy protection in  
Windows 10

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*WP8-T1*

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Public

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# Windows 10

- **Change: “Windows as a Service”**
  - Feature Upgrades: Rolling updates 2x/year (spring, fall)
  - No “next” Windows version
  - No more Service Packs
- **Primary business: sale of services**
  - Office 365, OneDrive, games, apps, etc.
  - Still sale of software
- **Additionally: Advertising**
  - Like Apple, Google, etc.
- **Fewer options to configure telemetry/tracking on cheaper editions**
  - Enterprise – Edu – Pro – Home (least)
- **Not “privacy by design/default”**

# Why is this important?

- **Concerns with regards to Privacy Protection**
  - Tracking of users → Privacy
  - Telemetry → sensitive/personal information transferred to MS (servers outside organisation)
  - No transparency (What is tracked, why, where is it transferred to ...)
  - In professional use: Organisation is data controller and therefore legally responsible for transmission)
- **Guiding question**
  - Can Windows 10 be used in public administrations?
  - TLDR: Yes, but tracking/telemetry has to be turned off
  - Recent EU court rulings have voided Privacy Shield → probably not anymore
- **Scope**
  - Office/Administration PCs/Laptops
  - Windows 10 Enterprise 1909

# Problem Fields, Agenda

- Cortana
- Taskbar web search
- Advertising ID
- Updates
- Microsoft Account
- Telemetry
- Former issues:
  - WLAN password sharing function (WiFi-Sense)
  - Disabled for encrypted WLANs since 1607

# Cortana: Features – Issues – Recommendation

- Virtual Assistant
  - Voice interface to search, notes, etc.
    - Like Google, Siri, Alexa, ...
  - Also for Android (needs MS account to sync)
- Problems
  - Voice samples send to MS for analysis
  - May leak personal/sensitive/confidential information
  - Can interact to voice commands even when screen/system is locked, tracks all the time
- Recommendation: Deactivate

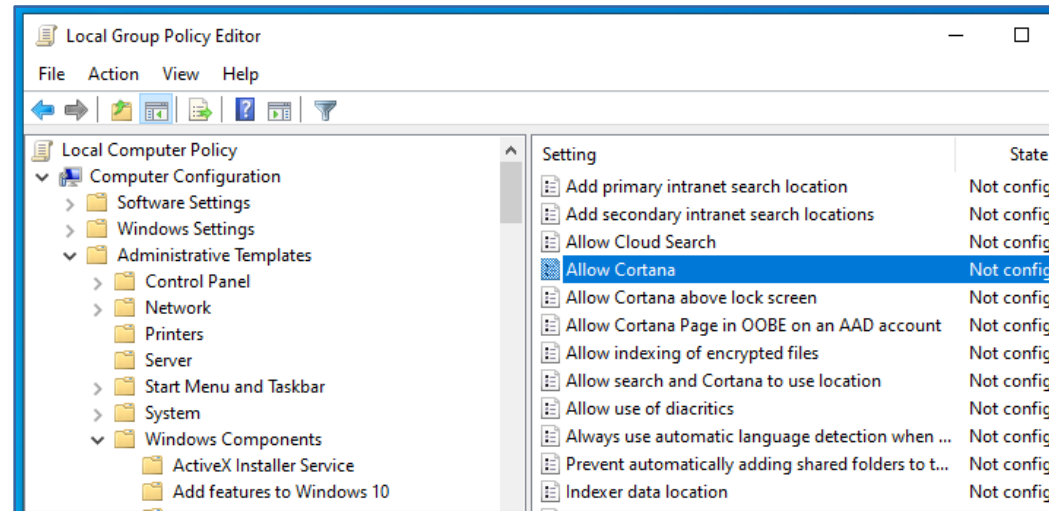


# Cortana: Deactivation

- Group Policy (Enterprise, Edu, Pro):

- Computer Configuration → Administrative Templates → Windows Components → Search → Allow Cortana

- Set to „Disabled“



- Registry edit:

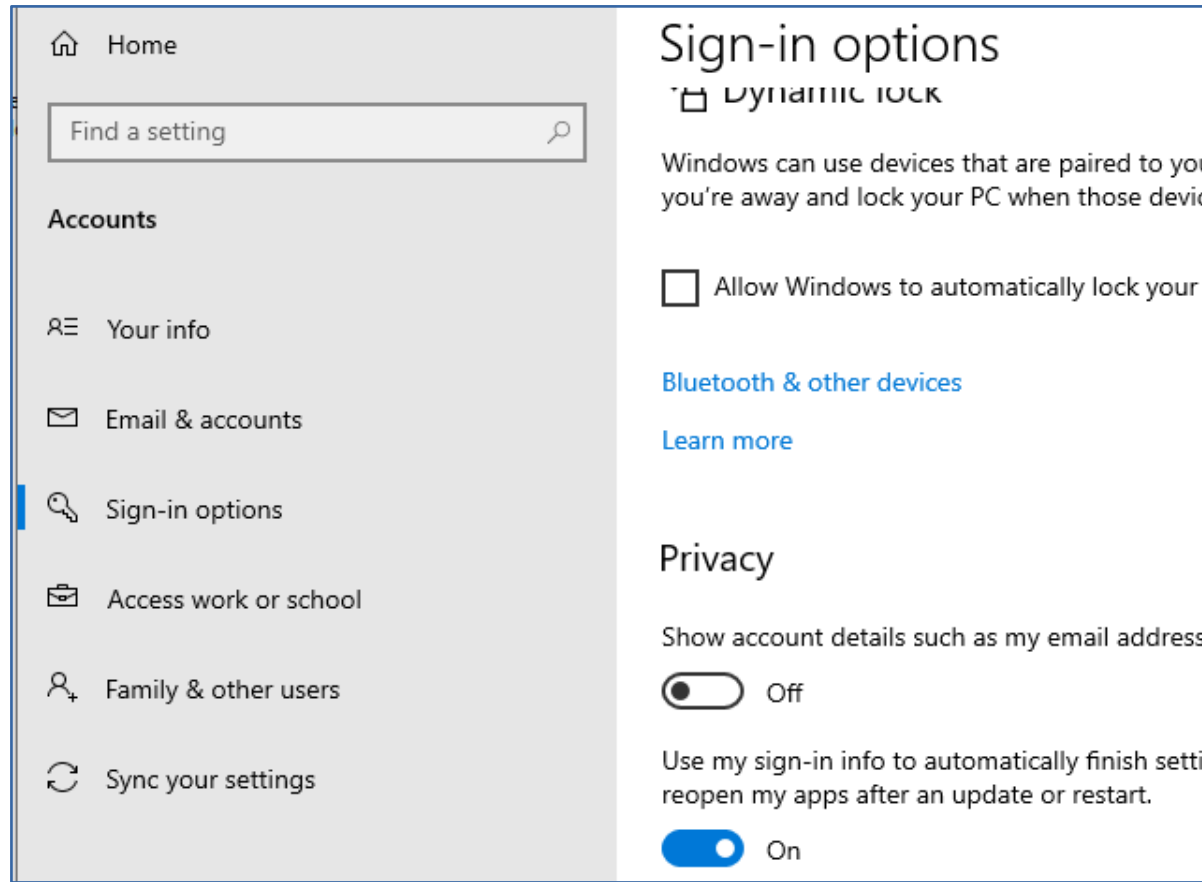
- Key: HKLM\Software\Policies\Microsoft\Windows\Windows Search

- Value: AllowCortana = 0 (DWORD)

- Per user key: HKCU\SOFTWARE\Policies\Microsoft\Windows\Windows Search

# Cortana: Email address control

- Don't show email address on lock screen when using MS account



# Taskbar web search: Features – Issues – Recommendation

- Web search
  - Hitting the Windows + S key or when typing in taskbar search window
  - Incremental web search as in browsers
  - I.e. sends search term while you type to the search engine which offers suggestions
  - Here: Edge & Bing
- Problem
  - Advertising/profiling through search engine
  - Hardcoded - can't choose other search engines or browsers
- Recommendation: Deactivate
  - Registry (recommended)
  - Or blocking in the local firewall

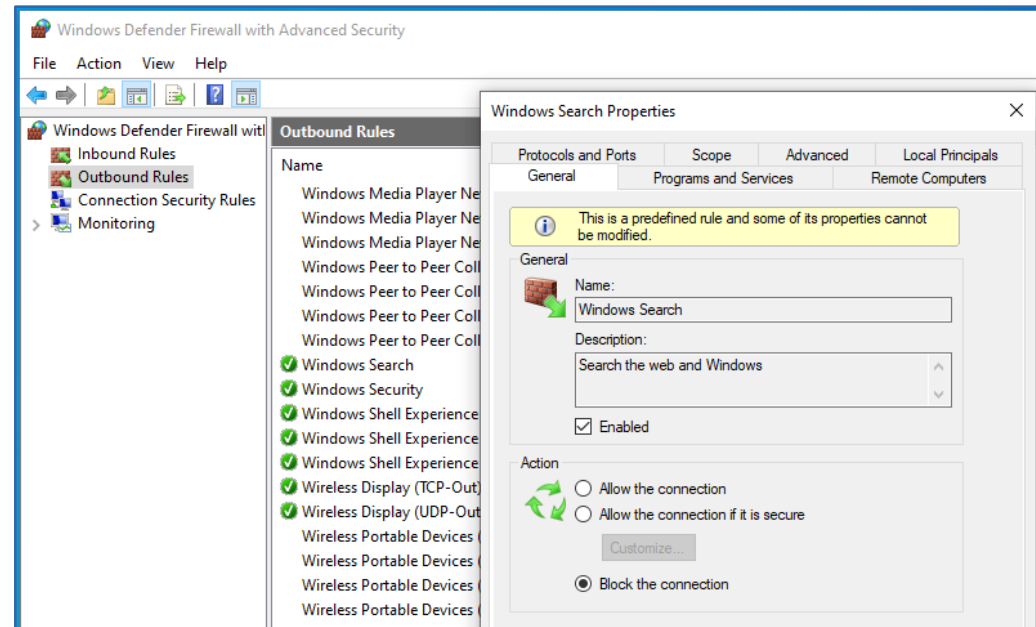


# Taskbar web search: Deactivation (1)

- Registry keys change with Windows 10 Feature Upgrades
- Versions 1803 – 1909:
  - Key: HKCU\Software\Microsoft\CurrentVersion\Search
  - Values:
    - BingEnabled = 0 (DWORD)
    - CortanaConsent = 0 (DWORD)
- Versions 2004 – ??:
  - Key: HKLM\Software\Policies\Microsoft\Windows\Windows Search
  - Value: ConnectedSearchUseWeb = 0 (DWORD)
  - Enterprise & Edu only

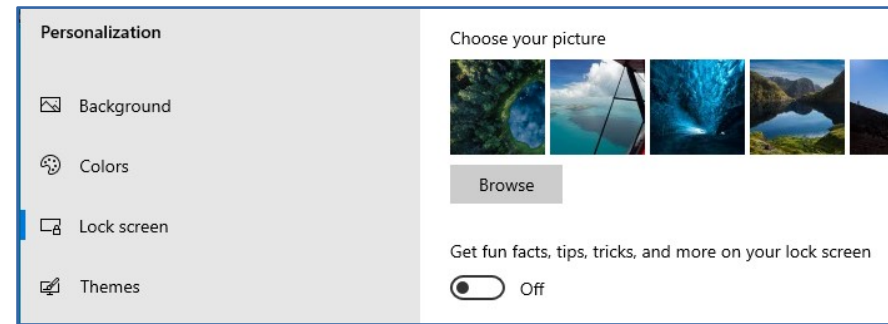
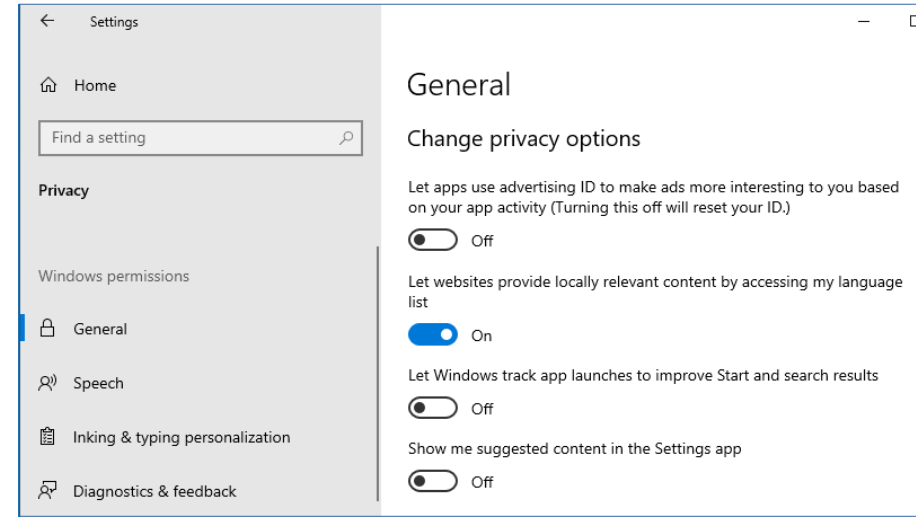
# Taskbar web search: Deactivation (2)

- Through personal firewall
  - Powershell or cmd.exe (as admin):
    - `netsh advfirewall firewall add rule name="Block Cortana Outbound Traffic" dir=out action=block program="%windir%\systemapps\Microsoft.Windows.Cortana_cw5n1h2txyewy\SearchUI.exe" enable=yes profile=any`
- GUI:
  - Settings → „Windows Defender with Advanced Security“



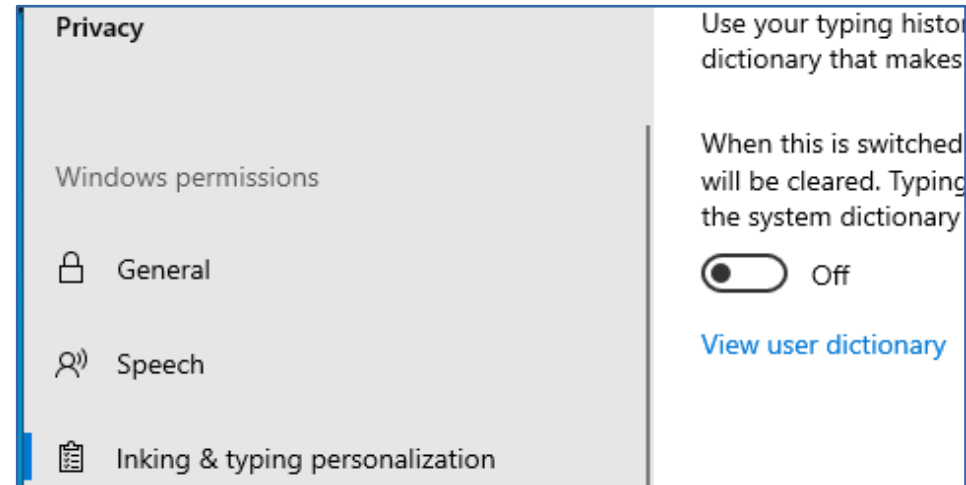
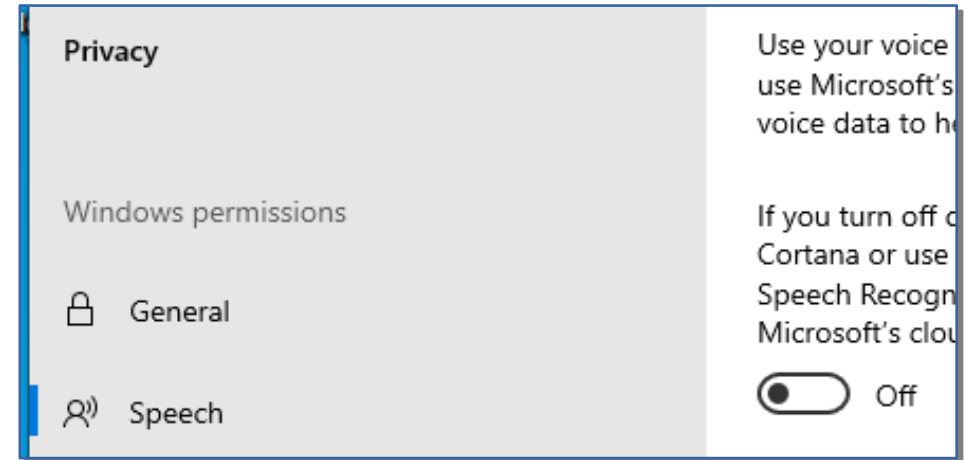
# Advertising (ID): Issues & Recommendations

- Allows cross device tracking
- Deactivate
- Keep only language access
  
- Also turn off additional information on the lock screen



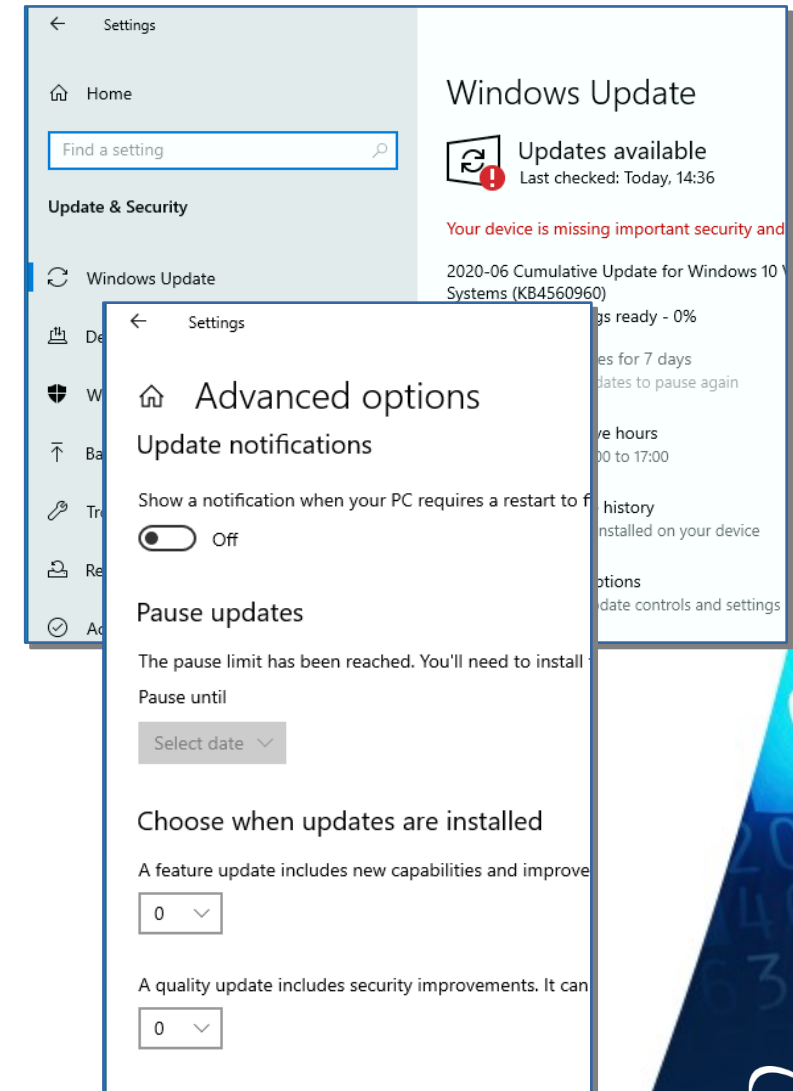
# Advertising: Speech & Inking

- Online Speech recognition
  - Uploads voice samples to MS cloud
    - Apps only: Cortana & dictation
    - Not the Windows voice recognition
  - Some users may depend on it!
    - Disabilities?
- Inking & typing
  - Uploads handwriting samples to MS cloud
  - If turned off, local handwriting dict still works
  - Not needed, especially if no supporting hardware present



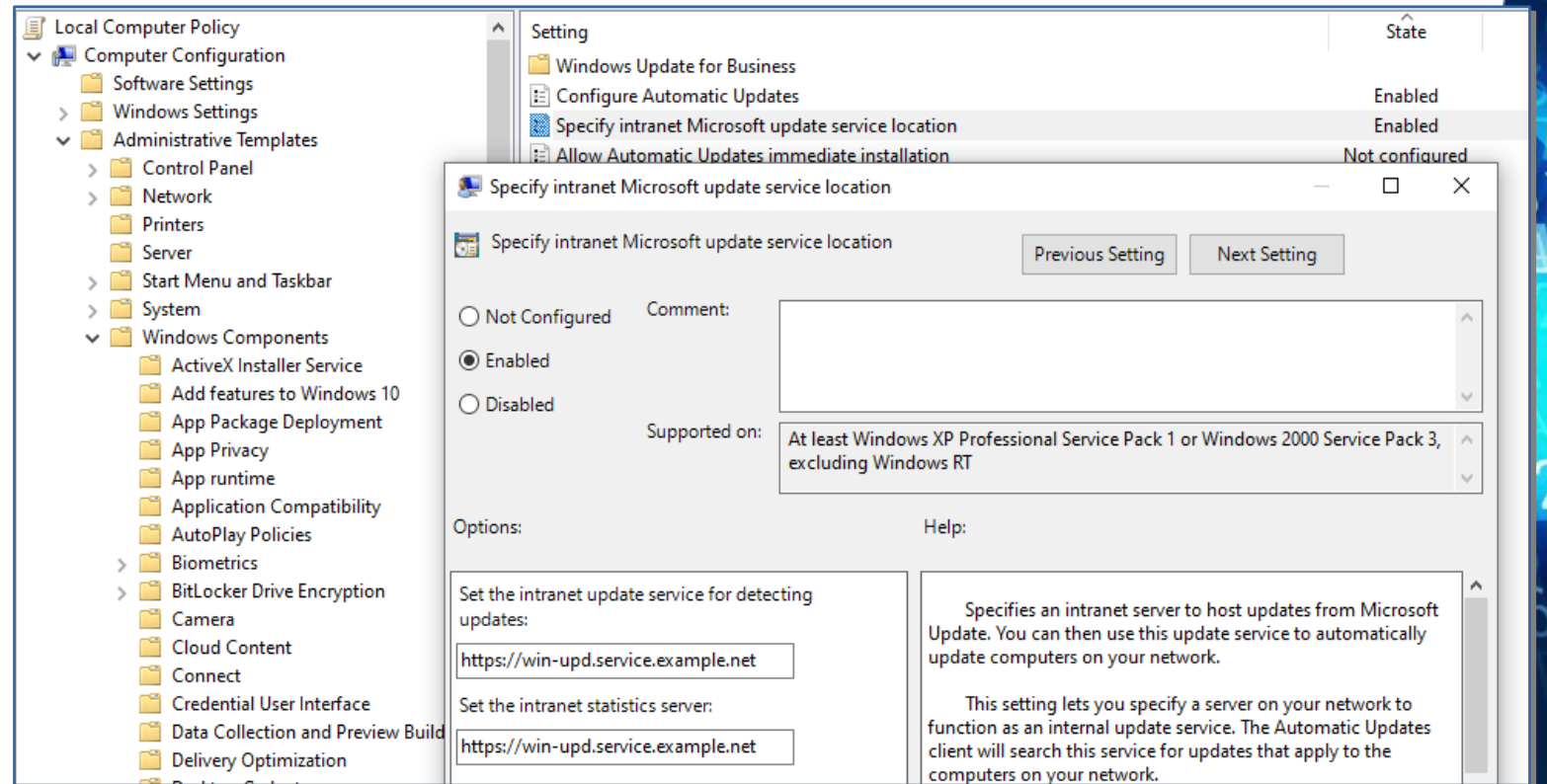
# Updates

- Installing updates can't be turned off
- Feature Upgrades
  - Require maintenance work to adjust to personal preferences
    - Can be hold back up to one year
- Quality (Security) Updates
  - Install as fast as you can!
  - If stability is critical, wait a few days to see if MS pulls back an update
  - Can be delayed up to one month
- Reboots can be delayed until after work hours



# Updates: Update server

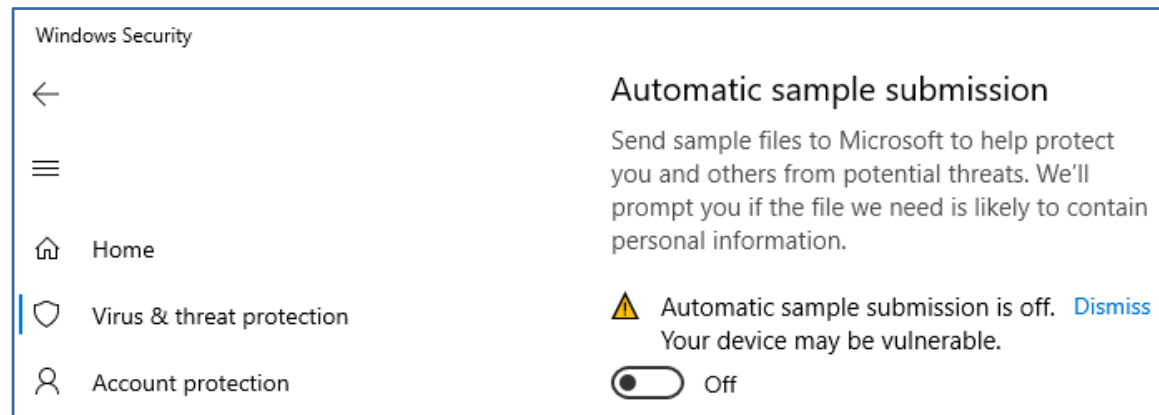
- More options with update server managed by the organisation
  - WSUS or Endpoint Manager
  - GPO object
  - Enterprise & Edu only





# Updates: Windows Defender

- Windows Defender
  - Needs regular (signature) updates
  - Also uploads files assessed as suspicious
  - May process and upload personal/sensitive/confidential information/files
- Recommendation:
  - Turn off **automatic** sample submission
  - Settings → Update & Security → Virus & threat protection

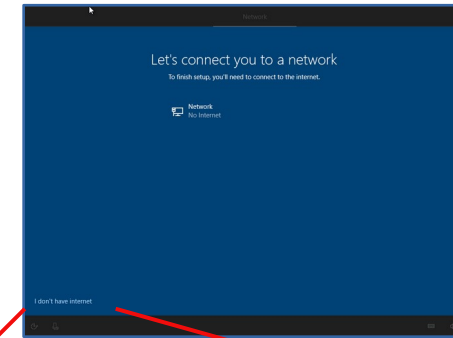


# Defender Sample Submission Complete Deactivation

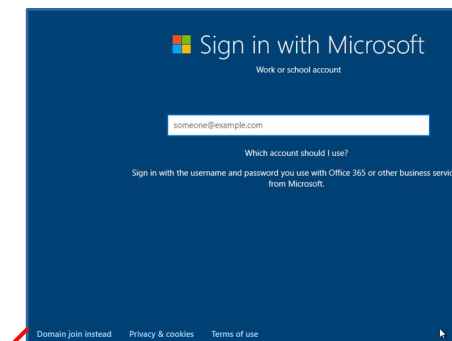
- Per registry key
  - HKEY\_LOCAL\_MACHINE\SOFTWARE\Policies\Microsoft\Windows Defender\Spynet
  - Values:
    - SubmitSamplesConsent = 2 (DWORD)
    - SpynetReporting = 0 (DWORD)
- Per GPO:
  - Computer Configuration → Administrative Templates → Windows Components → Windows Defender → MAPS
    - Send file samples when further analysis is required
    - Set to Enabled
    - Select Never send

# Local Account vs. Microsoft Account

- **Default:**
  - Use of MS (Cloud) account(s)
  - Almost hidden option to use local account
- **Features:**
  - (+) Single-sign-on to OS and Cloud
  - (+) Enables restore of OS license
  - (-) Makes tracking easier
  - (-) Password transferred in clear text to MS (once)
- **Recommendation:**
  - Install with local account
  - Add (cloud) accounts later if needed
  - Store/Cloud accounts don't need to be the same as host account



I don't have internet



Domain join instead

# Any questions so far?

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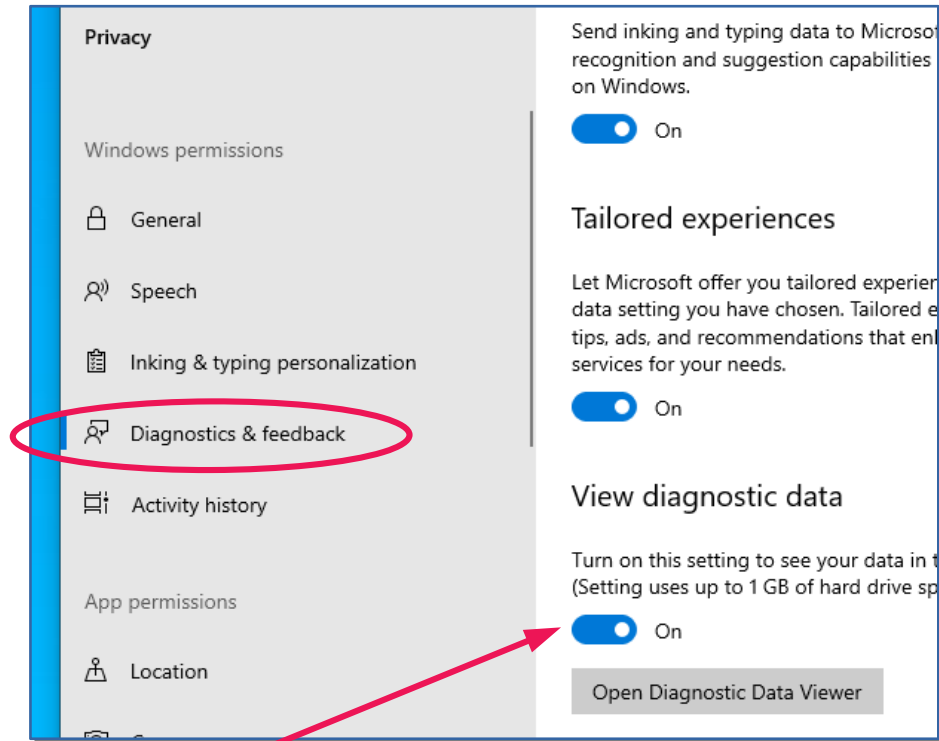
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The research leading to these results has received funding from  
the European Union's Horizon 2020 research and innovation  
programme under Grant Agreement No. 731122 (GN4-2).

# Telemetry: What it is – What it does – Issues

- System data uploaded by the *Connected User Experience and Telemetry* component
  - Aka Universal Telemetry Client (UTC) service, aka **Diagtrack** Service
- What is collected
  - Crash and usage data
  - Inspection of system & applications
  - System/platform information
- If “collect more information” is enabled
  - Inspection of running processes
    - Libraries loaded from DiagTrack service
  - Collection of system & platform information
    - Queries of registry & setup logs
- This may include sensitive/personal information!
  - Usage data can be used in behaviour analysis by Microsoft

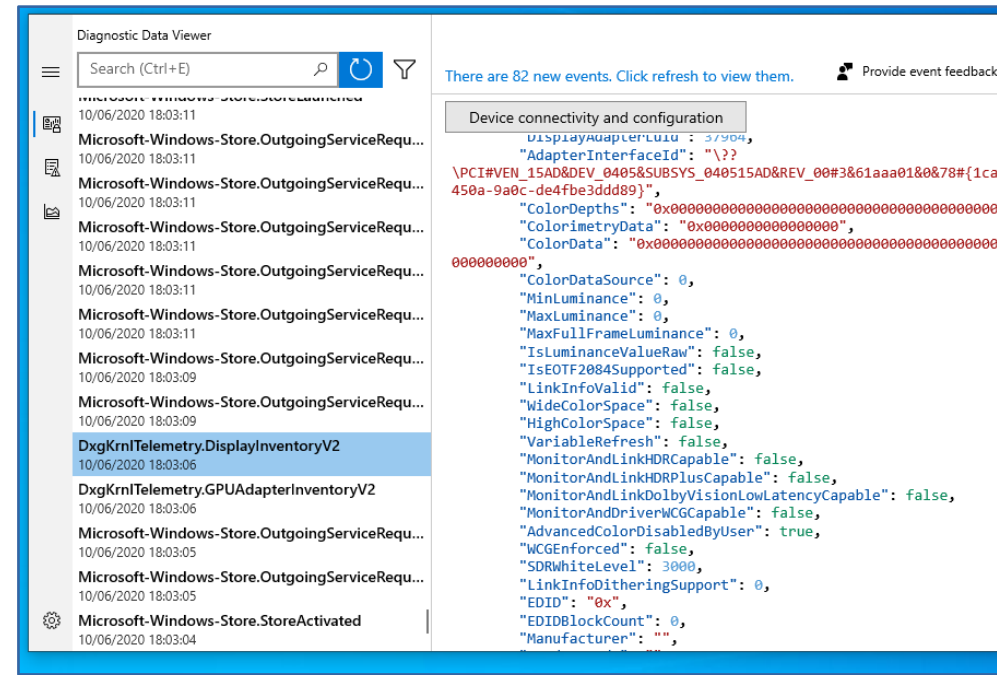


# Telemetry: Diagnostic Data Viewer



- Switch is off by default
- Requires free of charge App
- MS account required

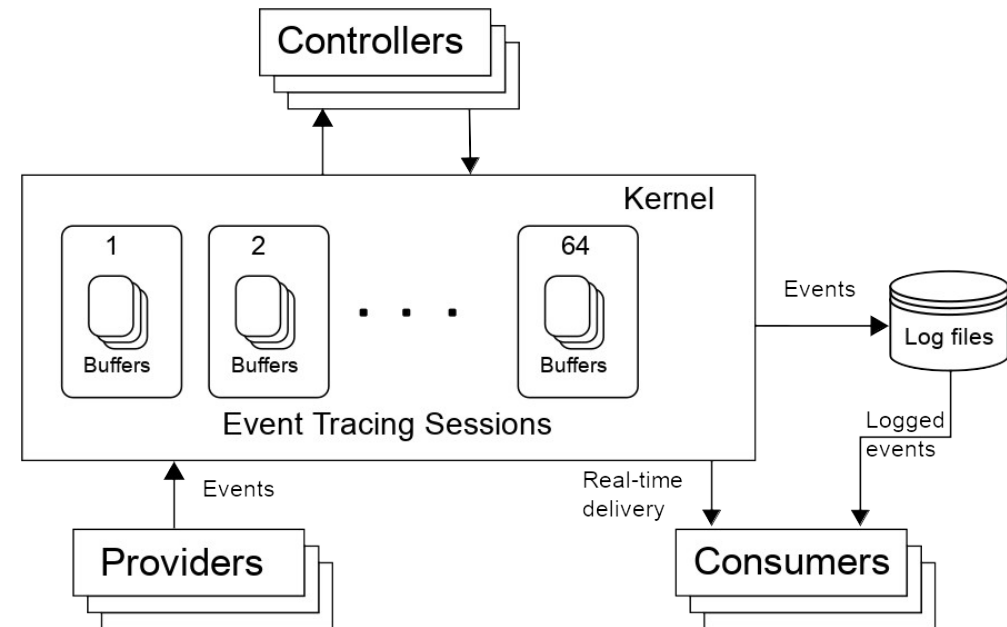
- Diagnostic data on your system
- View only, no delete or filter





# Excourse: Event Tracing for Windows

- Framework/API to build application logging
- DiagTrack & Eventlog based on it
- Controllers
  - Manage sessions
  - Create/delete
  - Activate/deactivate
  - Add/remove providers/consumers
- Providers
  - Produce (i.e. log, write logs) events
- Consumers
  - Consume (i.e. read) events
  - From log files or directly from kernel buffers (real-time)



# Telemetry: Configuration (1)

- Tracking Level set in registry key:
  - HKLM\SOFTWARE\Microsoft\Windows\CurrentVersion\Policies\DataCollection\AllowTelemetry (DWORD)
- Configuration defines providers for given tracking level
  - Downloaded from Microsoft at regular intervals
  - %ProgramData%\Microsoft\Diagnosis\DownloadedSettings\utc.app.json

```
#{
  "queryUrl": "/settings/v3.0/utc/app",
  "settings": {
    "UTC:::ENDPOINT.TELEMTRY.ASM-WINDOWSSQ": "telemetry.0",
    "UTC:::PROVIDERDEFINITION.MICROSOFT.WINDOWS.SHELL.SYSTEMSETTINGS.SETTINGSAPPACTIVITY":
    "b7afa6af-aaab-4f50-b7dc-b61d4ddbe34f",
    "UTC:::COMMONSCHEMAVERSION": "3.0",
    "UTC:::TENANT": "dedb3435bceb4d2d9f40bcb2139e6562-4de2fa0d-3dbd-4e46-a2dd-
    f158b62f510a-7933",
    ...
  }
}
```

## Telemetry: Configuration (2)

Level	No of Providers for Listener		Data logged KBytes/day	Available for	Default Level
	AutoLogger-Diagtrack	Diagtrack			
0: Security	9	4		Ent, Edu	
1: Basic	93	410	~150 – 250	All	
2: Enhanced	105	418	~250 – 350	All	Ent
3: Full	112	422		All	Home, Pro

# Telemetry: Transmission

- Network: Telemetry servers

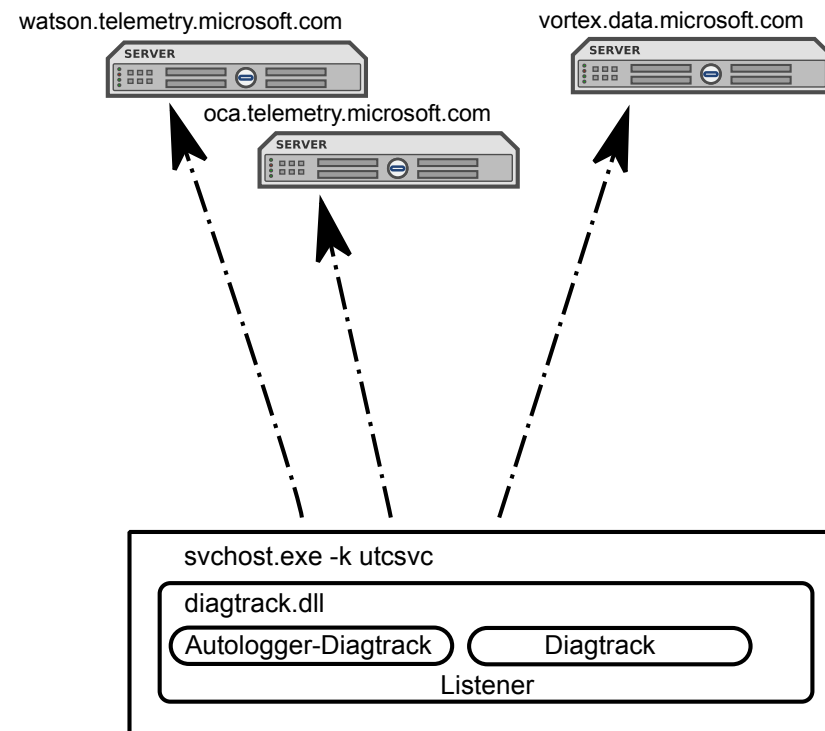
- Names change with Windows 10 version
- CDN, region etc. dependant
- TLS, certificate pinned
- Connects around every 15-20min
  - Less on metered links

- Locally: Diagtrack-Listener

- %ProgramData%\Windows\Diagnostics
- Hidden folder

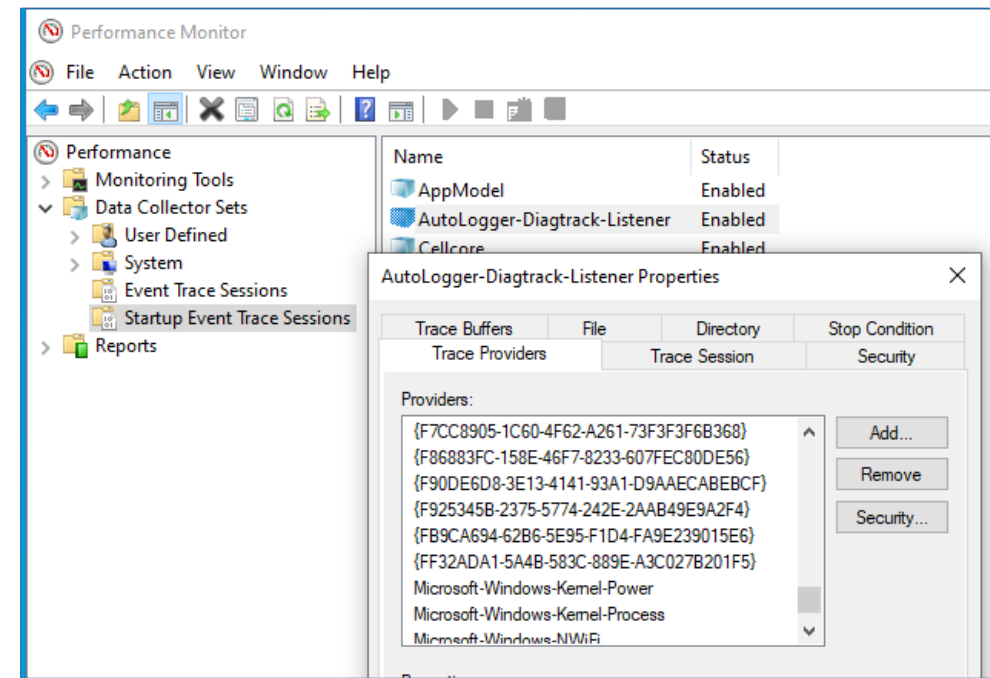
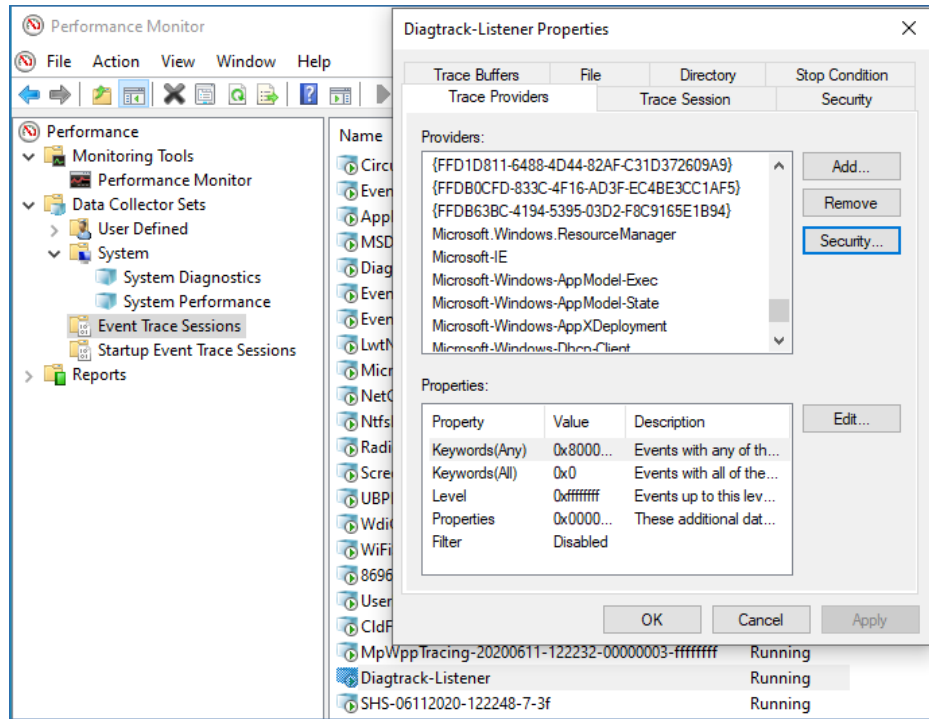
- Listeners:

- Autologger-Diagtrack for early boot events aka Startup Event Trace Sessions
- Diagtrack for normal operation, aka Event Trace Sessions



# Telemetry: perfmon.exe

- Control/inspection of telemetry sessions



# Telemetry: Control (1)

- Local system:
  1. Set AllowTelemetry Key to 0
  2. Deactivate DiagTrack Service
  3. Disallow network access to MS data collection servers (local firewall)
  4. Manipulate system name resolution for MS data collection servers (i.e. %SystemRoot%\System32\etc\hosts)
- Network:
  5. Disallow network access to MS data collection servers (network firewall)
  6. Manipulate DNS responses for MS data collection server names (local nameserver)



# Telemetry: Control (2)

- 1) & 2) work best
  - Easy to setup through Scripts, GPOs, etc.
- 2) only solution on Pro, Home editions
- 3) – 6) problematic
  - IP addresses may change often

```
> dig +noall +answer watson.telemetry.microsoft.com
watson.telemetry.microsoft.com. 10 IN CNAME umwatson.trafficmanager.net.
umwatson.trafficmanager.net. 10 IN A 51.143.111.7
```

- DNS names may change with Feature Upgrades
- MS might opt to ignore etc/hosts in the future
- Firewalls rules may not allow DNS names
- Scripts & cronjobs for workarounds

# Summary

- Telemetry/Data collection cannot be turned off completely
  - From an organisation/global security perspective, this is not entirely bad
- Trade-off between
  - Local security needs
  - Users' privacy
  - Users' convenience
  - Support from Microsoft/local admins
  - Legal: GDPR, other local laws, contracts (maintenance, warranties), etc.
- There are no patent solutions
- Only scratching the surface
  - See the links

# Thank you

Any questions?

Next module: *Logging and Audit*, 5<sup>th</sup> of August 2020

[www.geant.org](http://www.geant.org)



# References (1)

- Privacy Protection Authorities
  - European Data Protection Supervisor
    - [https://edps.europa.eu/press-publications/press-news/press-releases/2019/edps-investigates-contractual-agreements\\_en](https://edps.europa.eu/press-publications/press-news/press-releases/2019/edps-investigates-contractual-agreements_en)
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  - Bavarian Data Protection Authority for the Private Sector Windows 10 Investigation Report (English)
    - [https://www.lida.bayern.de/media/windows\\_10\\_report.pdf](https://www.lida.bayern.de/media/windows_10_report.pdf)
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## References (3)

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    - <http://curia.europa.eu/juris/liste.jsf?num=C-311/18>
- Windows Defender blocking entries in .../etc/hosts
  - <https://www.bleepingcomputer.com/news/microsoft/windows-10-hosts-file-blocking-telemetry-is-now-flagged-as-a-risk/>
  - <https://support.microsoft.com/en-us/help/2764944/hosts-file-is-detected-as-malware-in-windows-defender>



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  - <https://privacy.microsoft.com/en-us/privacystatement>
- More explanation about data collection in Windows 10
  - <https://privacy.microsoft.com/en-US/windows10privacy>
- **Which Windows 10 components connect to Microsoft servers**
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- **Which (Microsoft) servers Windows 10 connects to**
  - <https://docs.microsoft.com/en-us/windows/privacy/manage-windows-2004-endpoints>
- Cortana & privacy
  - <https://support.microsoft.com/en-us/help/4468233/cortana-and-privacy-microsoft-privacy>
- Cortana deactivation
  - <https://www.howtogeek.com/265027/how-to-disable-cortana-in-windows-10/>

# Further reading

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  - Part 2 was scheduled for 9/2019 :(
- “Troubleshooting with the Windows Sysinternals Tools”, 2<sup>nd</sup> Ed.
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